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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

Jc973 U.S. PTO
09/903415
07/11/01

Attorney Docket No.		LIGHT2140		C/M # 2101501-165402	
First Inventor or Application Identifier:		CHI WU			
Title:		Method of Forming an Optical Component			
Express Mail Label No.:		EL617045299US			
Application Elements (See MPEP chapter 600 concerning utility patent application contents)			ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231		
<p>1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, & duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>25</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none">• Descriptive title of the Invention• Cross References to Related Applications• Statement Regarding Fed sponsored R&D• Background of the Invention• Brief Summary of the Invention• Brief Description of the Drawings (if filed)• Detailed Description• Claim(s)• Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>13</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>			<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment (____ pgs.)</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Express Mail Certification</p> <p>17. <input type="checkbox"/> OTHER: Check # _____ (\$_____)</p>		
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part of prior application no.: _____</p> <p>Prior application information: Examiner: _____ Group/Art Unit: _____</p>					
18. CORRESPONDENCE ADDRESS					
<p><input type="checkbox"/> Customer Number (25548) Or Bar Code Label</p> <p>OR</p> <p><input type="checkbox"/> Correspondence Address Below</p>					
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Signature				38,631	
		Date		July 2001	

PATENT ATTORNEY DOCKET NO.: LIGHT2140

The Patent and Trademark Office date stamp sets forth the receipt date of:

DATE MAILED: **JULY 2001**

Applicant or Patentee:

CHI WU

Filing Date: Herewith

Serial No.:

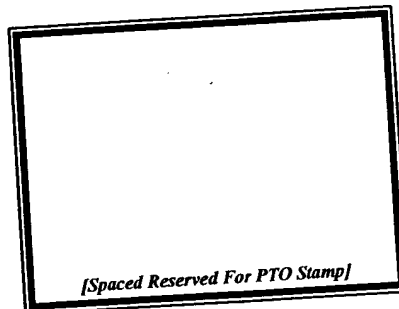
Unknown

Title:

METHOD OF FORMING AN OPTICAL COMPONENT

UTILITY PATENT APPLICATION

1. Utility Patent Application Transmittal Form (1 pg);
2. Utility Patent Specification (25 pgs);
3. Drawings (13 Sheets);
4. Declaration for Patent Application (3 pgs) (unsigned);
5. Express Mail Certification; and
6. Return Postcard



Atty/Sec Initials: TAM/MMT/meg

Client/Matter No.: 2101501-165402 **Date Due:** N/A

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MIKHAIL BAYLEY

NAME

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